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# Forming galaxies with MOND

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## ABSTRACT

Beginning with a simple model for the growth of structure, I consider the dissipationless evolution of a MOND-dominated region in an expanding universe by means of a spherically symmetric  $N$ -body code. I demonstrate that the final virialized objects resemble elliptical galaxies with well-defined relationships between the mass, radius and velocity dispersion. These calculations suggest that, in the context of MOND, massive elliptical galaxies may be formed early ( $z \geq 10$ ) as a result of monolithic dissipationless collapse. Then I reconsider the classic argument that a galaxy of stars results from cooling and fragmentation of a gas cloud on a time-scale shorter than that of dynamical collapse. Qualitatively, the results are similar to that of the traditional picture; moreover, the existence, in MOND, of a density–temperature relation for virialized, near isothermal objects as well as a mass–temperature relation implies that there is a definite limit to the mass of a gas cloud where this condition can be met – an upper limit corresponding to that of presently observed massive galaxies.

**Key words:** gravitation – galaxies: formation – galaxies: fundamental parameters – dark matter.

## 1 INTRODUCTION

Here I reconsider the problem of galaxy formation, dissipationless and dissipational, in the context of modified Newtonian dynamics, or MOND (Milgrom 1983). With Newtonian gravity, dissipational processes do not appear to be necessary to explain the basic structural properties of elliptical galaxies. For example, van Albada (1982) demonstrated that the observed surface brightness profile of elliptical galaxies, the  $r^{1/4}$  law (de Vaucouleurs 1948), develops naturally in Newtonian  $N$ -body simulations of the collapse of bound systems with initially inhomogeneous density distributions. On the other hand, dissipation does seem to be necessary to explain the typical densities of baryonic matter in both disc and elliptical galaxies (Binney 1977); pure gravitational collapse in an expanding universe produces insufficient concentration of visible matter. Moreover, cooling and fragmentation are certainly necessary for the formation of stars which are the principal component of galaxies. Thus, the formation of galaxies could, in some sense, be viewed as dissipationless if cooling of a primordial gas cloud and fragmentation down to the level of stellar mass objects occurs on a time-scale short compared to that of dynamical collapse. This is a point first made by Hoyle (1953) to explain the mass scale of galaxies, and developed further by a number of authors (Binney 1977; Rees & Ostriker 1977; Silk 1977; White & Rees 1978). The argument involving these two competing time-scales has become an essential ingredient of the standard cosmology in which the matter budget of the Universe is

dominated by a hypothetical pressureless, collisionless fluid, cold dark matter (CDM) (White & Rees 1978; Blumenthal et al. 1984).

In the context of the MOND paradigm, galaxy formation must occur without the assistance of CDM. Moreover, recent numerical work has indicated that dissipationless merging of galaxies proceeds much more slowly with MOND than when luminous galaxies are assumed to be surrounded by massive dark haloes (Nipoti, Londrillo & Ciotti 2007b); therefore, mergers would probably play a less important role in galaxy formation, particularly the formation of ellipticals, than in the current conventional picture. But the primary roadblock to the consideration of galaxy formation with MOND is that there is not yet a generally accepted or standard cosmological context for structure formation, even though relativistic extensions have been described in the literature (e.g. Bekenstein 2004; Sanders 2005; Zlosnik, Ferreira & Starkman 2006). Therefore, I begin with the simple assumptions that MOND only applies to peculiar accelerations in an expanding universe and that the acceleration constant,  $a_0$ , does not evolve with cosmic time.

Such assumptions would appear to be consistent with the current relativistic versions of MOND, where the non-Newtonian force is mediated by a long-range scalar field with non-standard Lagrangian: there is no MOND in the absence of density variations. Moreover, these assumptions underlie the heuristic models of structure formation in a MONDian universe considered previously by myself (Sanders 2001) and by Nusser (2002). In particular, Nusser noted that small fluctuations grow rapidly [ $\propto (z+1)^{-2}$ ], and that this leads to an unacceptably clumpy universe at the present epoch – essentially independent of the initial amplitude of fluctuations. I postpone consideration of this problem to a later discussion on taming the growth of large-scale structure formation and assume here that galaxy scale

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fluctuations in a baryonic universe grow by the application of the MOND formula to peculiar accelerations. If so, then it is easily demonstrated that galaxy mass objects recollapse early ( $10 < z < 25$ ) and that spherically symmetric dissipationless collapse leads to final virialized objects having roughly the properties of observed elliptical galaxies. In particular, both the magnitude and form of the observed surface density distribution – the de Vaucouleurs law – and the velocity dispersion–baryonic mass relation – the Faber–Jackson law (Faber & Jackson 1976) – are reproduced for bound objects with masses ranging up to  $10^{13} M_{\odot}$ .

All of this, however, begs the question of why galaxies, as self-gravitating ensembles of stars, have an upper mass limit to their baryonic content of about  $10^{12} M_{\odot}$ . More massive self-gravitating objects exist, but they are bound groups or clusters of galaxies rather than single entities consisting of stars. To address this question we must again consider the processes of radiative cooling and fragmentation on a time-scale short compared to that of gravitational collapse. In this respect, there is a great advantage afforded by modified dynamics: the presence of an additional physical constant with units of acceleration ( $a_0 \approx 10^{-8} \text{ cm s}^{-2}$ ) in the structure equation strongly constrains the properties of any self-gravitating object as we see in this and previous dissipationless collapse calculations (Nipoti, Londrillo & Ciotti 2007a). Such an object with a velocity dispersion of a few hundred  $\text{km s}^{-1}$  will have a mass of  $10^{11} M_{\odot}$  as well as a characteristic size and density. In other words, there is less arbitrariness in specifying initial properties of a pre-galactic cloud.

In the context of MOND the virial mass, the dynamical time-scale, and the characteristic density of a self-gravitating isothermal cloud depend only upon velocity dispersion. This redefines the curve, in the temperature–density plane, describing the condition that dynamical and cooling time-scales are equal. As in the standard model, this curve neatly separates those objects where dissipation has led to the formation of stars (galaxies) from those where it has not (groups and clusters). Moreover, the presence of a density–temperature relation for self-gravitating isothermal clouds leads to definite upper limit to the mass of objects in which the cooling time is less than the dynamical time – again a characteristic mass of about  $10^{12} M_{\odot}$ . Therefore, we see that many of the successes of the standard model for galaxy formation carry over to MOND – in particular the existence of an upper limit to systems consisting of stars. Moreover, the location of near isothermal systems in the temperature–density plane – systems ranging from globular clusters to clusters of galaxies – becomes understandable in terms of MOND.

In the following section I review the heuristic model for the initial growth of galaxy scale fluctuations in an expanding universe. In Section 3 I numerically follow the dissipationless evolution of galaxy scale spherical regions which collapse out of the Hubble flow. Even though dissipationless, these calculations demonstrate the scaling relations which primordial gas clouds may obey. In Section 4 I apply these relations to reconsider the old argument on collapse and fragmentation in the context of MOND, and in the final section I compare MOND with the standard model and summarize.

## 2 THE GROWTH OF SMALL FLUCTUATIONS IN A MONDIAN UNIVERSE

MOND in its original form (Milgrom 1983) is described by the relation between the true gravitational acceleration,  $g$ , and the Newtonian gravitational acceleration,  $g_N$ :

$$g\mu(g/a_0) = g_N, \quad (1)$$

where  $a_0$  is the fundamental acceleration parameter ( $\approx 10^{-8} \text{ cm s}^{-2}$ ) and  $\mu$  is the function which interpolates between the Newtonian regime ( $g > a_0, \mu = 1$ ) and the MOND regime ( $g < a_0, \mu = g/a_0$ ). MOND, as a modification of Newtonian gravity, may also be expressed by the modified field equation of Bekenstein & Milgrom (1984):

$$\nabla \cdot [\mu(\nabla\phi/a_0)\nabla\phi] = 4\pi G\rho. \quad (2)$$

This formulation is conservative but difficult to solve for arbitrary mass distributions; in the case of spherical symmetry it reduces to the simple expression above (equation 1) where  $g_N$  is determined by the usual Poisson equation.

Early efforts to describe a MONDian cosmology in the absence of a relativistic theory applied the simple MOND equation (equation 1) to an expanding spherical region analogously to the Newtonian derivation of the Friedmann equation (Felten 1984). There it was immediately realized that the evolution depended upon the physical size of the region (it was not possible to define a dimensionless scalefactor) and that any expanding region would eventually recollapse regardless of its initial density and expansion velocity. However, at early epochs, the region within which the Hubble deceleration is less than  $a_0$ , is much smaller than the horizon scale; therefore, if  $a_0$  is independent of cosmic time, it may be possible that the universe as a whole is Friedmannian while increasingly larger subregions become MONDian and recollapse (Sanders 1998). Although this would lead naturally to a scenario of hierarchical structure formation, it is not possible to identify the centres of recollapse; i.e. primordial density fluctuations play no role.

A more plausible scenario would be one in which the MOND formula is applied to the peculiar accelerations developing from density fluctuations rather than to the Hubble expansion as a whole. This was the central idea behind heuristic models for structure formation (Sanders 2001, Nusser 2002) and for the early collapse of low-mass gas clouds (Stachiewicz & Kutshera 2005). This assumption is consistent with, but not a necessary consequence of, current relativistic extensions of MOND (e.g. TeVeS, Bekenstein 2004). Its validity depends upon the form of the free function of the theory (effectively the MOND interpolating function) in the cosmological regime where the cosmic time derivative of the scalar field dominates the scalar field invariant. But since the free function does not follow from any more fundamental considerations, assumptions about its form, at present, are no less ad hoc than applying the MOND prescription directly to peculiar accelerations.

With the additional ansatz that the MOND acceleration parameter  $a_0$  is does not vary with cosmological time (also not necessarily true in relativistic extensions such as the biscalar variant of TeVeS, Sanders 2005), the equation for the growth of small fluctuations ( $\delta = \delta\rho/\rho$ ) becomes

$$\ddot{\delta} + 2\frac{\dot{x}}{x}\dot{\delta} + \frac{\ddot{x}}{x}\delta = \frac{3g_1}{x\lambda_c}. \quad (3)$$

Here  $x$  is the dimensionless scalefactor in terms of the present scalefactor [ $x(t_0) = 1$ ], time is in units of the Hubble time ( $1/H_0$ ),  $\lambda_c$  is the comoving scale of the perturbation, and  $g_1$  is the peculiar gravitational acceleration related to the Newtonian peculiar acceleration

$$g_p = \frac{\Omega_m}{3x^2}\lambda_c\delta \quad (4)$$

by the MOND formula (equation 1). Here I have assumed that fluctuations grow primarily during the period when non-relativistic matter with density parameter  $\Omega_m$  dominates the mass–energy budget of the Universe. Below I will assume, consistent with MOND, that this matter is essentially baryonic.

In the high acceleration limit ( $g_1 > a_0$ ) we recover the usual linear Newtonian expression for the growth of small fluctuations in an expanding medium ( $g_1 = g_p$ ). However, when  $g_1 < a_0$ , then the MOND limit applies:

$$g_1 = \left[ \frac{f_m \Omega_m \lambda_c r_H}{3x^2} \delta \right]^{1/2}, \quad (5)$$

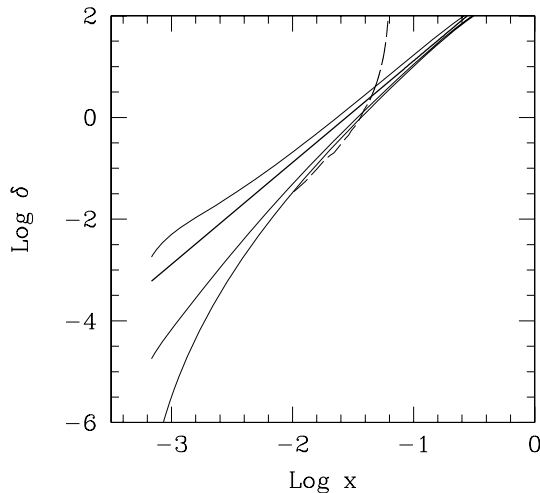
where  $r_H$  is the Hubble radius,  $c/H_0$ , and the MOND acceleration parameter is written as  $a_0 = f_m c H_0$  with  $f_m \approx 1/7$ . In this case, the equation for the growth of small fluctuations becomes non-linear ( $g_1 \propto \delta^{1/2}$ ) and dependent upon the comoving or mass scale.

In the MOND limit a simple power-law solution to this equation exists in the matter dominated regime; i.e.  $\delta = At^\alpha$  with  $\alpha = 4/3$ . In other words, unlike the Newtonian case where  $\delta \propto x$ , in the MOND regime  $\delta \propto x^2$  (Nusser 2002). In terms of the scalefactor, the solution is

$$\delta = \frac{4}{27} f_m \frac{r_H}{\lambda_c} \frac{x^2}{\Omega_m}. \quad (6)$$

In fact, as may occur in non-linear problems, this solution is an attractor on a space of solutions; MOND drives structure growth towards  $x^2$  quite independently of the initial conditions.

This is shown in Fig. 1, where  $\delta$  is plotted as a function of scalefactor. The cosmological background here is of the usual Friedmann form for a universe without dark matter ( $\Omega_m = 0.04$ ) but with the standard radiation content and zero spatial curvature, i.e.  $\Omega_\lambda = 0.96$ . Note that here I do not consider the possible presence of 2-eV neutrinos; this would change details – on the scale of galaxies, adding an additional contribution to Hubble expansion – but not the essence of the argument. In equation (1) I have taken the form of  $\mu$  to be that advocated by Zhao & Famaey (2006), i.e.  $\mu(x) = x/(1+x)$ . The heavy solid curve is the pure MOND power-law solution (equation 6) for a comoving scale of 1.56 Mpc corresponding to a mass of  $10^{11} M_\odot$ , and the lighter solid curves are numerical solutions of equation (3) with various initial values for  $\delta$  at the epoch of matter



**Figure 1.** The amplitude of a galaxy scale density fluctuation ( $\lambda_c = 1.56$  Mpc) as a function of scalefactor on a log–log plot. The heavy solid line is equation (6), the power-law solution of equation (3), and the light solid curves are the numerical solutions of the growth equation (equation 3) in the low density ( $\Omega_m = 0.04$ ), vacuum energy dominated cosmology for initial values at decoupling:  $\delta_0 = 1.8 \times 10^{-7}$ ,  $1.8 \times 10^{-5}$ ,  $1.8 \times 10^{-3}$ . The dashed curve is the evolution of overdensity of the expanding sphere determined by the numerical  $N$ -body program; i.e. when  $\delta > 1$  equation (6) is no longer valid.

decoupling ( $z \approx 1400$ ). We see that the numerical solutions rapidly converge to the power-law solution.

It is also evident that the fluctuations grow to unity on a relatively early epoch:

$$x_1 = 2.6 \left[ \frac{\Omega_m \lambda_c}{f_m r_H} \right]^{1/2}, \quad (7)$$

corresponding to a redshift of  $z_1 = 13.8(10^{11} M_\odot/M)^{1/6} \Omega_m^{-1/3} \approx 40$  for the galaxy scale fluctuation. In this scenario we would expect massive galaxies to form early ( $z > 10$ ).

Given that the power spectrum of fluctuations is related to the amplitude as  $P(k)k^3 \propto \delta^2$  this gives a final power spectrum of  $P(k) \propto k^{-1}$  as noted by Nusser (2002). However, also as noted by Nusser, equation (6) would certainly imply that the amplitude of fluctuations on large scale is far too large to be consistent with observations. Cosmology can intervene to tame the growth of larger scale structure; essentially, vacuum energy (or curvature) moderates the growth when it begins to dominate the cosmic expansion, but this will be considered in a later paper. For now, we assume that equation (6) applies to galaxy scale fluctuations. Of course, the equation is only valid when  $\delta < 1$ ; thus below we consider the dissipationless evolution of collapsing spheres with MOND; i.e. only (modified) gravity affects the evolution. The underlying implicit assumption is that the baryonic fluid, presumably a gas cloud at the beginning of recollapse, rapidly cools, fragments and forms stars during the initial collapse. The condition for this to occur will be considered in Section 4.

### 3 THE EXPANSION AND RECOLLAPSE OF MOND DOMINATED FLUCTUATIONS

Fully three-dimensional dissipationless collapse calculations have recently been carried out by Nipoti et al. (2007a). Starting with an inflated Plummer sphere (zero initial kinetic energy) they follow the collapse and formation of virialized objects with varying central concentration, ranging from deep MOND to Newtonian. Here I use a spherically symmetric  $N$ -body code of the form originally developed by Hénon (1964) in order to follow the evolution of an initially expanding overdense spherical region beyond  $\delta = 1$ . Although the calculations of Nipoti et al. would provide more realistic models of actual galaxies, the goal here is to consider the general properties of objects which might actually condense out of the Hubble flow.

The radial motion of spherical shell  $i$  at radius  $r_i$  is determined by numerically solving the equation of motion:

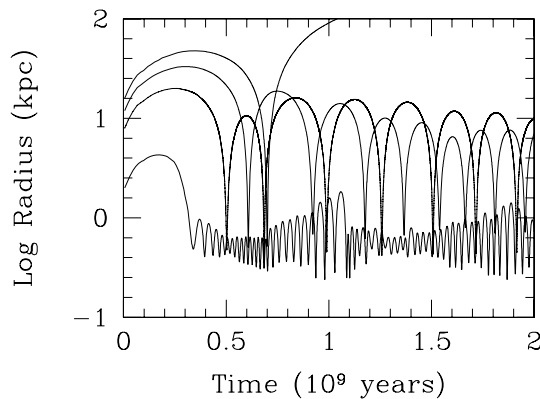
$$\frac{d^2 r_i}{dt^2} = -g_i + \frac{j_i^2}{r_i^3}, \quad (8)$$

where the gravitational force at the  $i$ th shell,  $g_i$ , is given by two parts:  $g_i = g_H + g_1$  where  $g_H$  is the usual Hubble deceleration and  $g_1$ , as above, is the peculiar deceleration resulting from the overdensity and given by the MOND formula (equation 1), again with the Zhao–Famaey interpolating function. Whenever the peculiar acceleration exceeds the Hubble acceleration by a factor of two, I assume that the region is decoupled from the Hubble flow and then follow its evolution as an isolated object. The final term in equation (8) is the centrifugal acceleration with  $j_i$  being the specific angular momentum of the  $i$ th shell; i.e.  $j_i = v_i r_i$  where  $v_i$  is the tangential velocity of particles comprising that shell. Note that there is no systematic rotation;  $v_i$  of particles in a shell is assumed to be distributed uniformly in all tangential directions. Again, because of the perfect spherical symmetry the solution of equation (1) is equivalent to solving the Bekenstein–Milgrom modified Poisson equation.

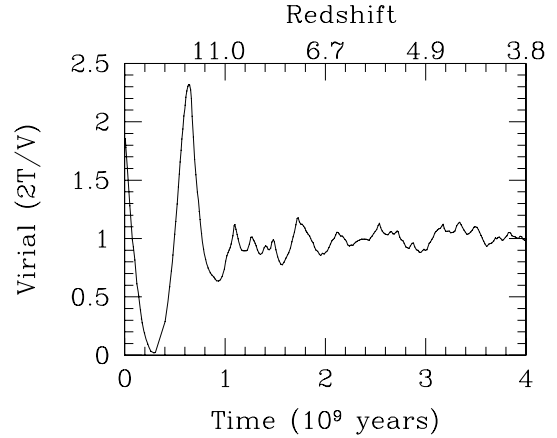
The sphere is initially homogeneous and partaking in the uniform Hubble expansion perturbed by its peculiar velocity. An initial overdensity of  $\delta\rho/\rho = 0.03$  is simulated by taking the sphere to be initially slightly smaller ( $\delta r/r = 0.01$ ) than it would be in a uniform universe. The initial conditions for the expanding sphere then are taken from the numerical solution for  $\delta$  described above (as in Fig. 1) in the case where the fluctuation amplitude at decoupling is  $\delta_0 = 1.8 \times 10^{-7}$ . For the sphere with mass  $10^{11} M_\odot$ , when  $\delta = 0.03$  we find  $t_i = 0.00278$  in units of the Hubble time, corresponding to  $x_i = 0.00968$  which yields an initial radius of 15.1 kpc and an expansion velocity of  $254 \text{ km s}^{-1}$  (this is all for the adopted cosmological model). The expansion is taken to be linear ( $= rH$ ) out to the maximum radius. The initial tangential velocity on all shells is assumed to be  $20\text{--}30 \text{ km s}^{-1}$  in order to prevent a collapse which is too violent (the results are qualitatively insensitive to the actual value of  $v_i$ ). With MOND, the overdense sphere will inevitably recollapse after reaching a maximum radius about three times larger than the initial radius. The recollapse occurs after a time interval comparable to the age of the Universe at the epoch when the sphere decouples from the Hubble flow. Of course, the assumptions of uniformity and spherical symmetry are enormous simplifications; since smaller regions recollapse earlier the region forming a galaxy would consist of numerous collapsing or virialized subcomponents.

This idealized evolution is illustrated in Fig. 2 for the  $10^{11} M_\odot$  sphere simulated by 800 spherical shells. This shows the logarithm of the radius of four different shells as a function of time; the largest shell is the outermost shell. It is evident that the shells oscillate with different frequencies and interpenetrate. Due to the phase mixing, the sphere will eventually come into an equilibrium state. The overdensity is calculated by comparing the average density within in the outermost shell to that of the homogeneous Universe at that epoch. This is shown by the dashed curve in Fig. 1 where we see that evolution of  $\delta$  follows that of the numerical solution of equation (3) until  $\delta \approx 1$  and then diverges.

The approach to final equilibrium can be seen by considering the virial ratio ( $2T/V$ ) as a function of time. In modified dynamics the gravitational potential is, properly speaking, not defined; an infinite energy is required to move any shell to infinity. None the less it is possible to define a virial relation which, in equilibrium, takes the form  $2T - V = 0$  where  $T$  is the total kinetic energy as usual



**Figure 2.** The log radius of four characteristic shells in a  $10^{11} M_\odot$  sphere, as a function of time. Initially the entire sphere is uniformly expanding and, in a Newtonian context, would be unbound; i.e. the sphere would never recollapse. But with modified dynamics, all shells will eventually recollapse. After the initial recollapse, the shells oscillate at different frequencies, phase mix and come into equilibrium.



**Figure 3.** The virial ratio ( $2T/V$ ) as a function of time for the  $10^{11} M_\odot$  spherical protogalaxy of Fig. 2 ( $2T/V = 1$  in equilibrium). This illustrates the rapid approach to virial equilibrium after entering the MOND regime and recollapsing. The spherical galaxy is in place as a virialized system at  $2 \times 10^9 \text{ yr}$  or by a redshift greater than 6 in the low-density universe.

and, in spherical symmetry,

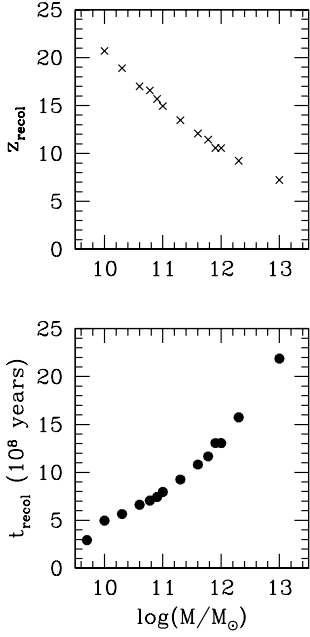
$$V = -4\pi \int \rho(r)g(r)r^3 dr \quad (9)$$

(Ramatka 1992; Gerhard & Spergel 1994). Here,  $g(r)$  is the modified gravitational acceleration given by equation (1). For the system of spherical shells this becomes  $V = \sum r_i m_i g(r_i)$  over all shells. As in Newtonian dynamics the condition  $2T/V = 1$  corresponds to equilibrium, but, unlike Newtonian dynamics, if  $2T/V > 2$  the system remains gravitationally bound and will recollapse.

The virial ratio as a function of time for the  $10^{11} M_\odot$  sphere is shown in Fig. 3. Initially, there is too much kinetic energy in expansion as would be the case in a low  $\Omega$  universe; the sphere would never recollapse in the context of Newtonian dynamics. But with modified dynamics recollapse and mixing do occur and after roughly three or four dynamical time-scales ( $\approx 10^9 \text{ yr}$ ), the virial ratio approaches one. Fairly significant ( $\approx 10$  per cent) oscillations continue for another three to four dynamical time-scale – somewhat longer than in Newtonian collapse calculations as found by Ciotti, Nipoti & Londrillo (2007).

The cosmic time and redshift of the initial collapse of objects of different mass is shown in Fig. 4 as a function of mass. The initial conditions and final state of these objects is given in Table 1. The initial collapse is that point where the virial ratio  $2T/V$  reaches its first maximum corresponding to excessive kinetic energy primarily in tangential motion. We see that initial recollapse for galaxy mass objects ( $10^{10}\text{--}10^{12} M_\odot$ ) occurs almost coevally, when the cosmic age is between 50 and 100 Myr, or at redshifts between 10 and 20. By redshifts of 8–10, these galaxy scale masses would be in place as virialized objects.

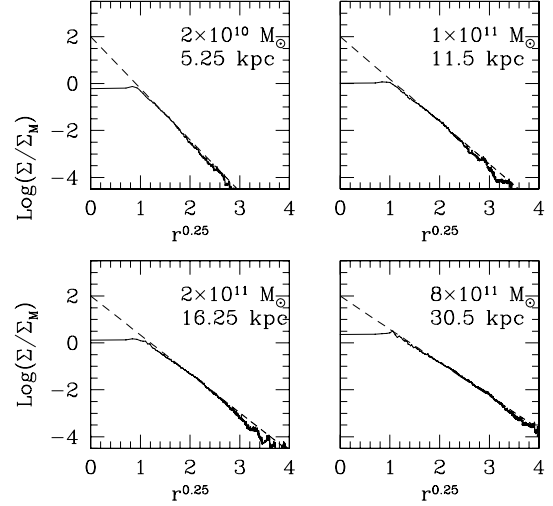
For determining the density and velocity distributions the effective number of shells is increased to 8800 by considering the position and velocities of shells at different epochs. The density distribution resembles that of a Jaffe model (Jaffe 1983) having a power law of exponent near 2 in the inner region steepening to more than 3 in the outer regions (we recall that this is also similar to the density distribution in the MOND isothermal sphere: Milgrom 1984; Sanders 2000). The radial velocity dispersion gradually declines as a function of radius, as in the high-order polytropic spheres considered by



**Figure 4.** The redshift and cosmic age corresponding to initial recollapse (defined as maximum virial ratio  $2T/V$ ) for masses ranging from  $10^9$  to  $10^{13} M_\odot$ . Galaxy scale objects all recollapse between redshifts of 10 and 20.

Sanders (2000). This is roughly consistent with decline observed in elliptical galaxies, at least, out to the effective radius.

Because of the large collapse factor the final equilibrium form of the velocity distribution is extremely anisotropic with an effective anisotropy radius of 1 kpc – well within the effective radius. Such objects would probably be highly unstable due to the extreme anisotropy, but this is suppressed here by the imposed spherical symmetry. The non-linear development of this instability would lead to a triaxial figure and a larger anisotropy radius consistent with stability, as is the case in the three-dimensional collapse calculations of Nipoti et al. (2007a). For this reason, the equilibrium objects resulting from these spherically symmetric calculations are not appropriate in detail as models for elliptical galaxies. None the less, it is of interest that the global properties resemble those of the anisotropic polytropes applied previously (Sanders 2000) as models for elliptical



**Figure 5.** The surface density distribution for four different MOND collapse simulations corresponding to the indicated masses. In all cases the simulation begins with the sphere in near Hubble expansion having an overdensity of  $\delta = 0.03$  where the initial conditions are given by the numerical solution of equation (6). The plots are of log surface brightness versus  $r^{0.25}$  and the dashed lines indicate the best  $r^{0.25}$  law fit with the effective radius indicated. The equilibrium figures are reasonably approximated by the de Vaucouleurs law. The flattening in the central regions is artificial and due to the unrealistically large assumed tangential velocity on the spherical shells. The projected central surface brightness, however, is the same in each case.

galaxies: specifically, a mild deviation from isothermality similar to that of  $n = 12$ –16 polytropes and a velocity distribution which is increasingly anisotropic in the outer regions.

In Fig. 5 the surface density profiles are shown for the equilibrium figures resulting from four different calculations appropriate to different mass spheres. We see that these profiles are well fitted by empirically successful  $r^{1/4}$  law typically over two orders of magnitude in surface density. The flat central surface density is an artefact of the unrealistically large initial tangential velocity on shells. Significantly, the projected central surface density is constant, and the effective radius grows, roughly, as the square root of the mass. The effective radius is also comparable to the critical radius for

**Table 1.** Initial and final properties of spherical collapse calculations. (1) Mass of sphere ( $10^{11} M_\odot$ ); (2) initial cosmic time (current Hubble time); (3) initial radius in kpc; (4) initial velocity at outer radius ( $\text{km s}^{-1}$ ); (5) redshift at recollapse; (6) final line-of-sight velocity dispersion ( $\text{km s}^{-1}$ ); (7) final effective radius in kpc.

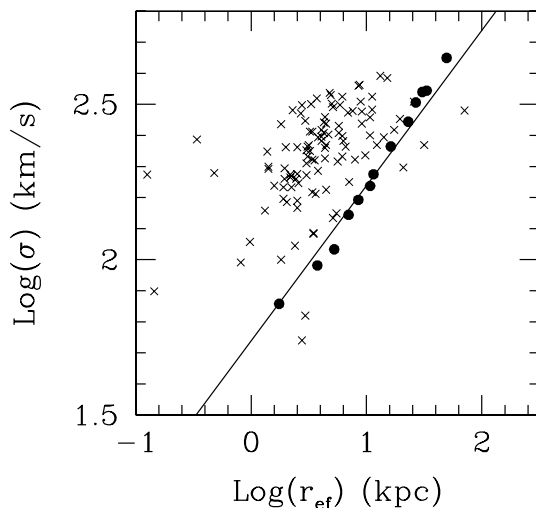
Mass ( $10^{11} M_\odot$ ) (1)	$t_i (10^{-3} H_0^{-1})$ (2)	$r_i$ (kpc) (3)	$V_{\text{max}}$ ( $\text{km s}^{-1}$ ) (4)	$z_{\text{recoll}}$ (5)	$\sigma_0$ ( $\text{km s}^{-1}$ ) (6)	$r_{\text{eff}}$ (kpc) (7)
0.05	1.66	5.6	100.2	29.1	72.1	1.75
0.10	1.86	7.0	135.7	20.7	95.8	3.75
0.20	2.10	8.9	163.9	18.9	108.0	5.25
0.40	2.37	11.2	198.0	17.0	139.4	7.0
0.60	2.54	12.8	221.1	16.6	155.7	8.5
0.80	2.68	14.1	239.1	15.7	172.7	10.8
1.0	2.78	15.2	254.0	15.0	188.3	11.5
2.0	3.15	19.1	306.5	13.5	231.5	16.3
4.0	3.58	24.1	369.6	12.1	278.0	23.0
6.0	3.86	27.5	412.3	11.4	320.7	26.8
8.0	4.06	30.3	445.6	10.6	346.4	30.5
10.0	4.23	32.7	473.3	10.5	350.1	33.3
20.0	4.82	41.2	570.4	9.2	446.3	49.5

modified dynamics ( $r_m = \sqrt{GM/a_0}$ ); i.e. galaxy mass objects naturally recollapse to a radius of about 10 kpc without dissipation.

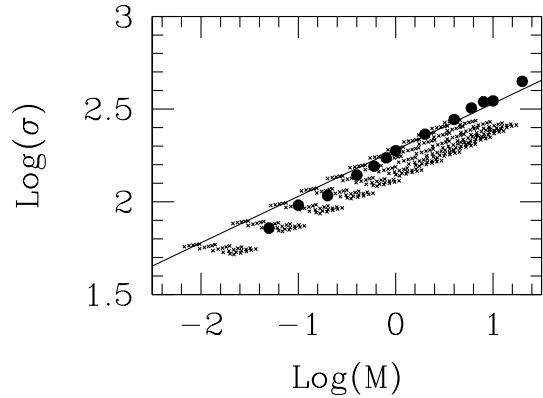
It is of some interest that spherically symmetric expansion and recollapse in MOND seems to be capable of producing final virialized objects in which the surface density distribution is a reasonable approximation to a  $r^{1/4}$  law. With Newtonian dynamics, it is necessary to begin with a highly inhomogeneous, or clumpy, initial density distribution in order to drive the redistribution in phase space sufficient to achieve such a universal surface density distribution; uniform spherical collapse does not work (van Albada 1982). Of course, it is impossible to repeat the calculations described here with Newtonian dynamics because such spheres would be unbound; there would be no recollapse. But beginning with cold spherically symmetric Newtonian collapse of objects of the same mass and size scale as described here, I find that the final range of phase space covered by the particles is considerably more restricted than for the MOND calculations. The MOND recollapse produces a number of shells which would be unbound in the context of Newtonian dynamics; this appears to make the critical difference for the final surface density distribution.

Fig. 6 shows the results of the 13 simulations covering a range of masses between  $5 \times 10^9$  and  $2 \times 10^{12} M_\odot$  on the log effective radius–log central velocity dispersion plane compared to the observations of (Jørgensen, Franx & Kærgard 1995a,b; Jørgensen 1999). In several of these simulations a different value of the initial tangential velocity was assumed ( $v_t = 20\text{--}40 \text{ km s}^{-1}$ ). Again we see that these global characteristics of objects which condense out of the cosmological expansion via the MOND prescription are generally similar to those of actual elliptical galaxies, although the models are somewhat more inflated than actual elliptical galaxies (larger effective radius for a given velocity dispersion). This suggests that some global dissipation may be necessary to reproduce the observed distribution of real objects on this plane.

Fig. 7 is the mass–velocity dispersion relation for these 13 equilibrium objects; also shown is that of the anisotropic  $n = 12\text{--}16$  polytropes applied previously (Sanders 2000) as MOND models for elliptical galaxies which reproduce the observed Fundamental Plane. For the collapse models the velocity dispersion is that along a



**Figure 6.** The dark points show the distribution of the equilibrium collapse models on the  $\log(\sigma_0)$ – $\log(r_p)$  plane. The cross-points are observed elliptical galaxies from the samples of Jørgensen et al. The solid line is equation (10) with  $p = 1$ .



**Figure 7.** The dark points show the mass–velocity dispersion relation for the equilibrium collapse models; the fainter crosses are the same for the anisotropic MOND polytropes applied previously (Sanders 2000) as models of elliptical galaxies. The solid line is equation (11) with  $q = 1$ .

single line of sight towards the centre weighted by density. The observed line-of-sight velocity dispersion within a circular diaphragm, for example, of 1.6 kpc diameter Jørgensen et al. (1995a), would be about 25 per cent lower.

It is evident that the collapsed spheroids do exhibit a mass–velocity dispersion relation similar to that observed, although they are more homologous than actual ellipticals (less scatter on the Faber–Jackson relation). This is because the spherical collapse model is highly idealized (i.e. no deviation from spherical symmetry or homogeneity). These objects would also lie on a Fundamental Plane similar to that described by the anisotropic polytropes (Sanders 2000, equation 15), i.e.  $M/(10^{11}) M_\odot \approx 10^{-5} [\sigma (\text{km s}^{-1})]^{1.76} [r_p (\text{kpc})]^{0.98}$  (the scaling is lower due to the extreme and unrealistic anisotropy). But the important conclusion is that objects resembling the ellipticals do condense out of the Hubble flow within the framework of the MOND scenario for structure formation. Moreover, the final virialized objects attain the mean binding energy of actual galaxies without the necessity of dissipation. These are not deep MOND objects (such as dwarf spheroidals or other low surface brightness systems) but are more similar to average massive ellipticals which are Newtonian within an effective radius. (Deep MOND isothermal spheres with large constant density cores are possible configurations, but not by this formation scenario; Milgrom 1984, and private communication.)

In summary, objects formed from MOND dissipationless collapse exhibit well-defined radius–velocity dispersion and mass–velocity dispersions relationships. These are of the form

$$r_p = p\sigma^2/a_0 \quad (10)$$

and

$$\sigma^4 = qGMa_0, \quad (11)$$

where  $p \approx q \approx 1$  (shown by the solid lines in Figs 6 and 7). These objects differ from pure isotropic, isothermal spheres where  $p = 4.36$  and  $q = 0.0625$  (Milgrom 1984; Sanders 2000). Significantly, this means that such objects also have a characteristic density which is also related only to the velocity dispersion:

$$\rho_p = \frac{1}{2\pi q p^3} \frac{a_0^2}{G\sigma^2}. \quad (12)$$

#### 4 THE MOND CONDITION FOR FRAGMENTATION

These dissipationless calculations still do not address the question of why galaxies of stars seem to be restricted to mass of less than  $10^{12} M_{\odot}$ . For this we have to consider cooling and fragmentation processes which lead to star formation.

In the traditional picture, this process is considered in terms of two competing time-scales – the dynamical time versus the cooling time. Presumably, the elements of the collapsing cloud collide, heat up and attain a temperature appropriate to the virial velocity dispersion. The radiative cooling time-scale of this hot plasma is

$$t_c = 3kT[\mu m_p \Lambda(T)n]^{-1}, \quad (13)$$

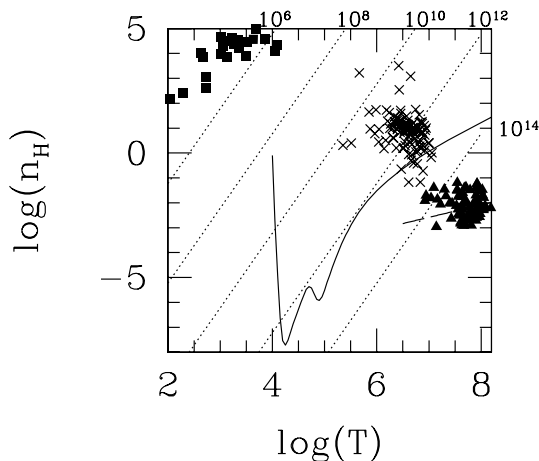
where  $T$  is the temperature,  $\mu$  is the mean molecular weight,  $m_p$  is the mass of the proton,  $n$  is the density and  $\Lambda(T)$  is the cooling rate per hydrogen nucleus in a plasma having specified, presumably primordial, abundances. With Newtonian dynamics, the dynamical, or collapse, time-scale is

$$t_d \approx (G\rho)^{-1/2}, \quad (14)$$

where  $\rho$  is the density.

Fig. 8 is a density–temperature plane for a hypothetical gas cloud and the solid curve shows the locus where  $t_c = t_d$ . Above the solid curve, one would expect cooling, fragmentation and star formation to play an important role. The points on the curve show three classes of pressure supported systems: globular clusters (Pryor & Meylan 1993; Trager, Djorgovski & King 1993), elliptical galaxies (Jørgensen et al. 1995a,b; Jørgensen 1999) and X-ray emitting clusters of galaxies (White, Jones & Forman 1997). The point made by numerous authors (e.g. Silk 1977) is clear: those objects consisting primarily of stars, ellipticals, are above the curve; whereas clusters of galaxies, consisting primarily of hot gas, are below.

If we consider gas clouds uniformly mixed with a dark matter halo in virial equilibrium we can also define a relation between the



**Figure 8.** The solid curve defines the locus on the density–temperature plane where radiative cooling time equals the classical, Newtonian dynamical time-scale. Gas clouds in the region above the curve can cool and fragment before the cloud collapses. Here one would expect to form self-gravitating objects consisting of stars. The parallel dotted lines show the locus of homogeneous objects of indicated mass in virial equilibrium. The squares, crosses and triangles show, respectively, globular clusters, elliptical galaxies and X-ray emitting clusters of galaxies. The long-dashed line is the locus of cooling time equal to Hubble time; cooling flow clusters should lie above this line.

mean density and the temperature for a given dark matter mass:

$$\rho = \frac{3f_b}{4\pi\alpha^3} \left( \frac{kT}{\mu m_p} \right)^3 G^{-3} M^{-2}, \quad (15)$$

where  $\alpha$  is a number depending upon the density distribution in the cloud and  $f_b$  ( $\approx 0.15$ ) is the baryon to dark matter density ratio. This density–temperature relation is also shown in Fig. 8 for objects of the indicated total dark mass. The point is that for objects with  $M > 10^{12} M_{\odot}$  the virialized cloud will lie primarily in the region where cooling is slow compared to the collapse time-scale. In this region we would expect that the fragmentation to the level of individual stars does not occur before subsequent dynamical evolution of the object.

With MOND we have seen that dissipationless objects which condense out of the Hubble flow exhibit a well defined radius–velocity dispersion, mass–velocity dispersion relations (equations 10 and 11) which imply a density–velocity relation (equation 12). However, the initial recollapsing object, at maximum expansion, is certainly a gas cloud; as the cloud collapses subcomponents will collide and, most likely, generate a temperature with thermal velocity comparable to the random velocity of components. Therefore, a critical assumption here, as in the standard model, is that the gas virial temperature generated during recollapse, fragmentation and star formation is essentially given by the random velocity of the virialized object. For our hypothetical gas cloud, therefore, the radius–temperature and mass–temperature relations corresponding to equations (10) and (11) are (for  $p = q = 1$ )

$$r_e = 4.5 \left( \frac{T}{10^6 K} \right) \text{ kpc}, \quad (16)$$

$$\frac{M}{10^{11} M_{\odot}} = 0.14 \left( \frac{T}{10^6 K} \right)^2, \quad (17)$$

where I have taken the mean atomic weight of 0.62 for an ionized gas with primordial abundances. The dynamical time-scale for the cloud would therefore be  $t_d = p\sigma/a_0$  or

$$t_d = 3.8 \times 10^7 \left( \frac{T}{10^6 K} \right)^{1/2} \text{ yr}. \quad (18)$$

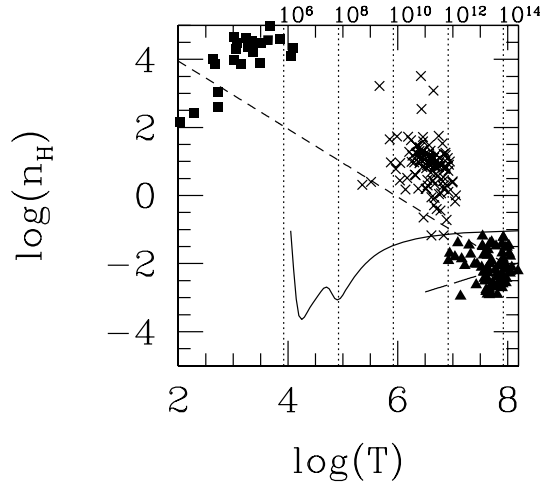
Expressing the characteristic density of a collapsed object (equation 12) in terms of the hydrogen density for a fully ionized plasma with primordial abundances,

$$n_H = 0.9 \left( \frac{T}{10^6 K} \right)^{-1} \text{ cm}^{-3}. \quad (19)$$

The condition  $t_c = t_d$  where now  $t_d$  is defined by equation (18) is shown by the solid curve in Fig. 9. The three classes of self-gravitating objects are indicated here as in Fig. 8. The temperature of the gas clouds with indicated masses, as given by equation (17), is now shown by the vertical dotted lines (there is no dependence on scale, and hence density, in the MOND virial relation). And finally, the characteristic MOND density (equation 19) for a near-isothermal cloud in equilibrium is shown by the dashed line.

We see that the solid curve again separates those objects built primarily from stars (elliptical galaxies) from objects consisting primarily of gas (clusters). We also see that the MOND density–temperature relation intersects this curve for an object with a mass of about  $10^{12} M_{\odot}$ . This means that objects which are more massive will have a density and temperature such that cooling and fragmentation occurs on a time-scale longer than subsequent evolution of the system as a whole; this provides a natural upper limit for objects built of stars. It is also evident that actual virialized systems do





**Figure 9.** The solid curve defines the locus on the density–temperature plane where radiative cooling time equals the MOND dynamical time-scale. As above, gas clouds in the region above the curve can cool and fragment before the cloud collapses. Here one would expect to form self-gravitating objects consisting of stars. The vertical dotted lines show the locus of homogeneous objects of various mass in virial equilibrium in the context of MOND. As before, the squares, crosses and triangles show, respectively, globular clusters, elliptical galaxies and X-ray emitting clusters of galaxies. The dashed line is the MOND density–temperature relation [equation (19)]. Note that the vertical scale differs from that of Fig. 8.

cluster about the line of the characteristic MOND density. This has been pointed out previously in other contexts (Sanders & McGaugh 2002).

If cosmological neutrinos have a mass of 2 eV they will comprise the dark component of clusters required even in the context of MOND (Sanders 2003). In this case the neutrino fluid will become a dominant component of clusters at temperatures higher than about  $4 \times 10^7$  K, and the curve of  $t_d = t_c$  would steepen at higher temperatures (Sanders 2007). This does not affect the argument on fragmentation. If the dark component of clusters is baryonic (Milgrom 2007), then the form of the curve remains the same.

At high temperature, the solid curve in Fig. 9 ( $t_c = t_d$ ) appears to approach a constant value of the density. This is because the cooling time-scale for a high-temperature plasma is set by free–free emission and is given by

$$t_c = \frac{K(kT)^{1/2}}{\mu^2 n_p m_p^{1/2} r_t^2 c^2 \alpha}, \quad (20)$$

where  $K$  is a numerical constant,  $m_p$  is the mass of the electron,  $r_t$  is the Thompson radius ( $e^2/m_p c^2$ ) and  $\alpha$  is the fine structure constant ( $e^2/\hbar c$ ). That is to say, the cooling time-scale is proportional to  $\sqrt{T}$ , as is the dynamical time-scale (equation 18). Therefore, the temperature drops out of the condition  $t_c = t_d$  in this high-temperature regime; there is a characteristic density where this condition is met of  $n \approx 0.1 \text{ cm}^{-3}$ . Equating this to the MOND density for a virialized system (equation 19) then defines a characteristic temperature or (from equation 17) a mass. This mass would be the upper limit to an object where fragmentation to stars has proceeded – the characteristic galaxy mass. This turns out to be

$$M_c \approx \alpha_g^6 \alpha_g^3 \left( \frac{m_p}{m_p} \right)^3 \frac{\hbar a_0}{c^3} \approx 10^{12} M_\odot, \quad (21)$$

where  $\alpha_g = Gm_p^2/\hbar c$  is the gravitational coupling constant. Therefore, with MOND, as in the standard paradigm, the galaxy mass

is defined in terms of fundamental constants although, in our case,  $a_0$  enters into the expression. Given that, coincidentally,  $\hbar a_0/c^3 \approx 0.1 \alpha_g m_p$ , equation (15) becomes

$$M_c \approx 0.1 \alpha_g^6 \alpha_g^{-2} \left( \frac{m_p}{m_p} \right)^2 m_p \quad (22)$$

which is similar (but not identical) to the expression derived by Silk (1977).

## 5 CONCLUSIONS

It has been appreciated for many years (e.g. Binney 1977) that, by the mechanism of gravitational instability in an expanding universe, it is impossible to form the luminous parts of galaxies without dissipation. This remains true in the context of the current cosmological paradigm,  $\Lambda$ CDM. Given the amplitude of fluctuations on galaxy scale at decoupling, bound objects of  $10^{12} M_\odot$  would form at a redshift of about 5 with a characteristic scale of to 20–50 kpc (defined as the radius at which the power-law density distribution breaks from  $-1$  to  $-3$ ) and a density between  $10^{-24}$  and  $10^{-25} \text{ g cm}^{-3}$  – independently of whether or not they form hierarchically or by monolithic collapse. (Navarro, Frenk & White 1997). But this would be the presumed characteristic density of dark matter. If the baryonic matter were distributed in the same way (no dissipation) then its density would be 10 times lower. The baryonic matter must dissipate, radiate its kinetic energy and collapse by a further factor of 10 to produce the observed baryonic density in the inner luminous regions of galaxies. Moreover, this dissipation must be global; fragmentation to the level of stars before collapse would not produce galaxies of the observed surface brightness.

With MOND, given the simple ansatz that the modified gravity only applies to fluctuations, this is not true. Then, in a pure baryonic universe, galaxy scale fluctuations separate out of the Hubble flow early ( $z > 35$ ) and recollapse and virialize by a redshift of 10. The mean surface density and binding energy of these collapsed objects is comparable to that of observed galaxies. The standard surface brightness distribution for ellipticals, the  $r^{1/4}$  law, is reproduced as well as the Faber–Jackson and Fundamental Plane correlations. This is all accomplished without dissipation. Of course, galaxies are self-gravitating ensembles of stars. So, to form galaxies in this dissipationless limit, cooling and fragmentation to the level of stellar mass objects must occur on a time-scale short compared to the collapse time. Therefore, as in the standard picture, radiative cooling must set the mass scale of galaxies.

The more robust result here, independent of the ansatz on structure formation, concerns the properties of protogalactic clouds. With MOND, unlike the Newtonian case, an acceleration scale enters the structure equation. This means that a near isothermal object with a given velocity dispersion, or temperature, will have definite mass: if that velocity dispersion is 100–200  $\text{km s}^{-1}$  the mass will be on the order of  $10^{11} M_\odot$ . Moreover, there also is a definite size scale associated with an isothermal object of a given mass – and that is the radius of the transition from Newtonian dynamics to modified dynamics. The isothermal object will inflate to this radius and thereafter truncate. This implies the existence of a characteristic density which can be identified with a particular mass; for example, a mass of  $10^{11} M_\odot$  will have a density of about one particle per cubic centimetre. For lower masses, or temperatures below  $10^7$  K, the virialized MOND clouds lie in the domain where cooling is more rapid than collapse; here we expect the cloud to fragment and form a galaxy of stars essentially by dissipationless collapse. For higher temperatures this is no longer the case. The cloud may well maintain

its identity as a gaseous object until merging with a similar cloud as part of a larger collapsing structure. It is of interest that observed pressure supported, near isothermal objects do, at present, lie near the characteristic MOND density–temperature relation.

In summary, with MOND galaxy scale masses are likely to recollapse and virialize early ( $z > 10$ ). Spherically symmetric  $N$ -body calculations indicate that the objects which condense out of the Hubble flow resemble actual elliptical galaxies. This suggests that elliptical galaxies may form by monolithic collapse without global dissipation. The condition that cooling takes place rapidly compared to collapse, as in the standard scenario, places an upper limit of about  $10^{12} M_{\odot}$  on those bound objects which can consist primarily of stars.

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